HUNTER'S HILL COUNCIL

POLICY



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POLICY TITLE Managing Unreasonable Conduct by Complainants Policy

STATUS Council

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PURPOSE

Some people who complain are justifiably disappointed and angry. This can be because they have been treated unfairly through no fault of their own. Despite this, they are able to manage their frustration and anger. They are also able to productively engage with the systems, processes and people that they interact with.

However, this is not true for everyone. For some people, their frustration or anger can lead to escalated behaviour, including aggressive and abusive conduct towards the organisations and staff handling their complaints. This could be about the subject matter of their complaint, how it was handled or its outcome. We call this unreasonable conduct.

Unreasonable conduct is any behaviour by a person which, because of its nature or frequency, raises substantial health, safety, resource or equity issues for the people involved in the complaint process. These people can be:

- the organisation responsible for handling a complaint
- the case officer(s) dealing with a complaint
- any subjects of complaint
- the person who made the complaint (potentially including members of their families and friends)
- other people who make a complaint
- and other service users.

Unreasonable conduct can happen anywhere. It is not limited to telephone communications or face-to-face interactions. It can also take place online or in written correspondence. The purpose of this policy is to recognise and address the management of this behaviour.

SCOPE

This policy is designed to assist Council staff in identifying and dealing with unreasonable complainant conduct and provides an overview of the following principles:

 Clear guidance on the authority vested in frontline staff and senior management to respond to and manage UCC incidents, including restricting a complainant's access to services.

- An explanation of the circumstances where it may be appropriate to change or restrict a complainant's access to services, and the procedures to follow when doing so.
- A range of possible service restrictions (not just 'write only' restrictions) that staff can use to manage UCC incidents.
- Guidance about factors (including cultural factors) that should be considered when deciding to change or restrict a complainant's access to services.
- Procedures for communicating with complainants about decisions to change or restrict their access, including the use of template letters.
- A centralised system for recording and reporting details of complainants who have service restrictions, to assist with ongoing management and review of these cases.
- A standardised process for reviewing decisions to change or restrict access including fixed time periods and criteria for review, and a presumption that any restriction will be lifted unless there is a clear need for it to continue.

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1. INTRODUCTION

1.1 Statement of support

Hunter's Hill Council is committed to being accessible and responsive to all complainants who approach our office regardless of ethnic identity, national origin, religion, linguistic background, sex, gender expression, sexual orientation, physical ability or other cultural or personal factors. At the same time, the success of our office depends on:

- Our ability to do our work in the most effective and efficient ways possible.
- The health, safety, and security of our staff.
- Our ability to allocate our resources fairly across all the complaints we receive.

When complainants behave unreasonably, their conduct can significantly affect the successful conduct of our work. Hunter's Hill Council will act proactively and decisively to manage any complainant conduct that negatively and unreasonably affects us and will support our staff to do the same in accordance with this policy.

2. OBJECTIVES

2.1 Policy aims

This policy was developed to assist all staff members to better manage unreasonable conduct by complainants (UCC). It aims to help staff:

- Feel confident and supported in taking action to manage UCC.
- Act fairly, consistently, honestly, and appropriately when responding to UCC.
- Understand their roles and responsibilities in relation to the management of UCC, and how this policy will be used.
- Understand the types of circumstances when it may be appropriate to manage UCC using one or more of the following mechanisms:
 - The strategies provided in the 'Managing unreasonable conduct by a complainant manual' (3rd edition) including the strategies to change or restrict a complainant's access to our services.
 - Alternative dispute resolution strategies to deal with conflicts involving complainants and members of our organisation.
 - Legal instruments such as trespass laws or other legislation to prevent a complainant from coming onto our premises, and orders to protect specific staff members from any actual or apprehended personal violence, intimidation, or stalking.
- Understand the criteria we will consider before we decide to change or restrict a complainant's access to our services.
- Be aware of the processes that will be followed to record and report UCC incidents, and the
 procedures for consulting and notifying complainants about any proposed action or decision to
 change or restrict their access to our services.
- Understand the procedures for reviewing decisions made under this policy, including specific timeframes for review.

DEFINING UNREASONABLE COMPLAINT CONDUCT

3.1 What is Unreasonable Conduct by a Complainant (UCC)?

Most complainants act reasonably and responsibly in their interactions with us, even when they are experiencing high levels of distress, frustration and anger about their complaint. However, despite our best efforts to help them, in a very small number of cases some complainants behave in ways that are inappropriate and unacceptable. They can be aggressive and verbally abusive towards our staff, threaten harm and violence, or bombard our offices with unnecessary and excessive phone calls and emails. They may make inappropriate demands on our time and our resources and refuse to accept our decisions and recommendations in relation to their complaints. When complainants behave in these ways we consider their conduct to be 'unreasonable'.

Unreasonable complainant conduct (UCC) is any behaviour by a current or former complainant which, because of its nature or frequency raises substantial health, safety, resource or equity issues for our organisation, our staff, other service users and complainants or the complainant himself/herself.

UCC can be divided into five categories of conduct:

- unreasonable persistence
- unreasonable demands
- unreasonable lack of cooperation
- unreasonable arguments
- unreasonable behaviours.

3.2 What is Unreasonable Persistence?

Unreasonable persistence is continued, incessant and unrelenting conduct by a complainant that has a disproportionate and unreasonable impact on our organisation, staff, services, time and/or resources. Some examples of unreasonably persistent behaviour include:

- An unwillingness or inability to accept reasonable and logical explanations including final decisions that have been comprehensively considered and dealt with.
- Persistently demanding a review simply because it is available and without arguing or presenting a case for one.
- Pursuing and exhausting all available review options when it is not warranted and refusing to accept further action cannot or will not be taken on their complaints.
- Reframing a complaint in an effort to get it taken up again.
- Bombarding our staff/organisation with phone calls, visits, letters, emails (including cc'd correspondence) after repeatedly being asked not to do so.
- Contacting different people within our organisation and/or externally to get a different outcome or more sympathetic response to their complaint – internal and external forum shopping.

3.3 What are Unreasonable Demands?

Unreasonable demands are any demands expressly made by a complainant that have a disproportionate and unreasonable impact on our organisation, staff, services, time and/or resources. Some examples of unreasonable demands include:

• Issuing instructions and making demands about how we have/should handle their complaint, the priority it was/should be given, or the outcome that was/should be achieved.

- Insisting on talking to the General Manager or a senior manager personally when the reasons that this is not appropriate or warranted have been carefully explained to the complainant.
- Emotional blackmail and manipulation resulting in intimidation, harassment, shaming, seduction or portraying themselves as being victimised, when this is not the case.
- Insisting on outcomes that are not possible or appropriate in the circumstances, e.g., asking for someone to be fired or prosecuted, or for an apology and/or compensation when no reasonable basis for this.
- Demanding services that are of a nature or scale that we cannot provide when this has been explained to them repeatedly.

3.4 What is Unreasonable Lack of Cooperation?

Unreasonable lack of cooperation is when a complainant is unwilling or unable to cooperate with Council, our staff, or our complaints process, resulting in a disproportionate and unreasonable use of our services, time and/or resources. Some examples of unreasonable lack of cooperation include:

- Sending a constant stream of complex and/or disorganised information without clearly defining
 the issue at hand or explaining how the material provided relates to their complaint (where the
 complainant is clearly capable of doing this).
- Providing little or no detail around their complaint or presenting information in 'dribs and drabs'.
- Refusing to follow or accept our instructions, suggestions, or advice without a clear or justifiable reason for doing so.
- Arguing that a particular solution is the correct one in the face of valid contrary arguments and explanations.
- Displaying unhelpful behaviour, such as withholding information, acting dishonestly, misquoting others.

3.5 What are Unreasonable Arguments?

Unreasonable arguments include any arguments that are not based in reason or logic, that are incomprehensible, false or inflammatory, trivial or delirious and that disproportionately and unreasonably impact upon our organisation, staff, services, time, and/or resources. Arguments are unreasonable when they:

- Fail to follow a logical sequence that the complainant is able to explain to staff.
- Are not supported by any evidence and/or are based on conspiracy theories.
- Lead a complainant to reject all other valid and contrary arguments.
- Are trivial when compared to the amount of time, resources and attention that the complainant demands.
- Are false, inflammatory or defamatory.

3.6 What is Unreasonable Behaviour?

Unreasonable behaviour is conduct that is unreasonable in all circumstances – regardless of how stressed, angry or frustrated a complainant is – because it unreasonably compromises the health, safety and security of our staff, other service users or the complainant themselves. Some examples of unreasonable behaviours include:

- Acts of aggression, verbal abuse, derogatory, racist, or grossly defamatory remarks.
- Harassment, intimidation or physical violence.

- Rude, confronting and threatening correspondence.
- Threats of harm to self or third parties, threats with a weapon or threats to damage property including bomb threats.
- Stalking (in person or online).
- Emotional manipulation.

Council has a zero-tolerance policy towards any harm, abuse or threats directed towards its staff. Any conduct of this kind will be dealt with under this policy, and other relevant Council policies, and in accordance with our duty of care and occupational health and safety responsibilities.

4. ROLES AND RESPONSIBILITIES

4.1 All Staff

All staff will be required to familiarise themselves with this policy as well as the individual rights and mutual responsibilities of the parties to a complaint, which are listed in Appendix A. Staff are also encouraged to explain the contents of this document to all complainants, particularly those who engage in UCC or exhibit the early warning signs of UCC.

Staff are also responsible for recording and reporting all UCC incidents they experience or witness (as appropriate) to the Public Officer within 24 hours of the incident occurring, using the UCC incident form in Appendix B. A file note of the incident should also be copied into Council's Records Management System.

4.2 The General Manager or their delegate

The General Manager (or their delegate), in consultation with relevant staff, has the responsibility and authority to change or restrict a complainant's access to our services in the circumstances identified in this policy. When doing so they will consider the criteria in section 7.2 (adapted into a checklist in Appendix C) and will aim to impose any service changes or restrictions in the least restrictive ways possible. Their aim when taking such actions will not be to punish the complainant, but rather to manage the impacts of their conduct.

When applying this policy, the General Manager (or their delegate) will also aim to keep at least one open line of communication with a complainant. However, we do recognise that in extreme situations all forms of contact may need to be restricted for some time to ensure the health, safety, and security of our staff or third parties. The Public Officer is also responsible for recording, monitoring, and reviewing all cases where this policy is applied to ensure consistency, transparency, and accountability for the application of this policy. They will manage and keep a file record of all cases where this policy is applied.

5. RESPONDING TO AND MANAGING UCC

5.1 Changing or restricting a complainant's access to our services

Unreasonable Complainant Conduct incidents will generally be managed by limiting or adapting the ways that we interact with and/or deliver services to complainants by restricting:

- Who they have contact with limiting a complainant to a sole contact person/staff member in our organisation.
- What they can raise with us restricting the subject matter of communications that we will
 consider and respond to.

- When they can have contact limiting a complainant's contact with our organisation to a particular time, day, or length of time, or curbing the frequency of their contact with us.
- Where they can make contact limiting the locations where we will conduct face-to-face interviews to secured facilities or areas of the office.
- How they can make contact limiting or modifying the forms of contact that the complainant
 can have with us. This can include modifying or limiting face-to-face interviews, telephone and
 written communications, prohibiting access to our premises, contact through a representative
 only, taking no further action or terminating our services altogether.

When using the restrictions provided in this section we recognise that discretion will need to be used to adapt them to suit a complainant's personal circumstances, level of competency, literacy skills, and cultural background. In this regard, we also recognise that more than one strategy may need to be used in individual cases to ensure their appropriateness and efficacy.

5.2 Who – limiting the complainant to a sole contact point

Where a complainant tries to forum-shop internally within our organisation, changes their issues of complaint repeatedly, reframes their complaint, or raises an excessive number of complaints it may be appropriate to restrict their access to a single staff member (a sole contact point) who will exclusively manage their complaint(s) and interactions with our office. This may ensure they are dealt with consistently and may minimise the chances for misunderstandings, contradictions and manipulation.

To avoid staff 'burn out' the sole contact officer's supervisor will provide them with regular support and guidance as needed. Also, the Public Officer will review the arrangement every six months to ensure that the officer is managing/coping with the arrangement.

Complainants who are restricted to a sole contact person will, however, be given the contact details of one additional staff member who they can contact if their primary contact is unavailable – e.g., they go on leave or are otherwise unavailable for an extended period of time.

5.3 What – restricting the subject matter of communications that we will consider

Where complainants repeatedly send written communications, letters, emails, or online forms that raise trivial or insignificant issues, contain inappropriate or abusive content or relate to a complaint/issue that has already been comprehensively considered and/or reviewed (at least once) by our office, we may restrict the issues/subject matter the complainant can raise with us/we will respond to. For example, we may:

- Refuse to respond to correspondence that raises an issue that has already been dealt with comprehensively, that raises a trivial issue, or is not supported by clear/any evidence. The complainant will be advised that future correspondence of this kind will be read and filed without acknowledgement unless we decide that we need to pursue it further in which case, we may do so on our 'own motion'.
- Restrict the complainant to one complaint/issue per month. Any attempts to circumvent this restriction (e.g., by raising multiple complaints/issues in the one complaint letter) may result in modifications or further restrictions being placed on their access.
- Return correspondence to the complainant and require them to remove any inappropriate content before we will agree to consider its contents. A copy of the inappropriate correspondence will also be made and kept for our records to help identify repeat UCC incidents.

5.4 When – limiting when and how a complainant can contact us

If a complainant's contact with our organisation places an unreasonable demand on our time or resources, or affects the health, safety, and security of our staff because it involves behaviour that is persistently rude,

threatening, abusive or aggressive, we may limit when or how the complainant can interact with us. This may include:

- Limiting their telephone calls or face-to-face interviews to a particular time of the day or days of the week.
- Limiting the length or duration of telephone calls, written correspondence or face-to-face interviews, e.g:
 - Telephone calls may be limited to 10 minutes at a time and will be politely terminated at the end of that time period.
 - Lengthy written communications may be restricted to a maximum of 15 typed or written pages, single sided, font size 11 or it will be sent back to the complainant to be organised and summarised. This option is only appropriate in cases where the complainant is capable of summarising the information and refuses to do so.
 - Limiting face-to-face interviews to a maximum of 45 minutes.
- Limiting the frequency of their telephone calls, written correspondence or face-to-face interviews. Depending on the nature of the service provided we may limit:
 - Telephone calls to 1 every month.
 - Written communications to 1 every month.
 - Face-to-face interviews to 1 every month.

For irrelevant, overly lengthy, disorganised or frequent written correspondence we may also:

- Require the complainant to clearly identify how the information or supporting materials they have sent to us relate to the central issues that we have identified in their complaint.
- Restrict the frequency with which complainants can send emails or other written communications to our office.
- Restrict a complainant to sending emails to a particular email account (e.g., customer@huntershill.nsw.gov.au) or block their email access altogether and require that any further correspondence be sent through Australia Post only.

'Writing' only restrictions

When a complainant is restricted to 'writing only' they may be restricted to written communications through:

- Australia Post only.
- Email only to a specific staff email or our general office email account.
- Some other relevant form of written contact, where applicable.

If a complainant's contact is restricted to 'writing only', the Public Officer will clearly identify the specific means that the complainant can use to contact our office (e.g., Australia Post only). If it is not appropriate for a complainant to enter our premises to hand deliver their written communication, this must be communicated to them as well.

Any communisations that are received by our office in a manner that contravenes a 'writing only' restriction will either be returned to the complainant or read and filed without acknowledgement.

5.5 When – limiting when and how a complainant can contact us

If a complainant is violent or overtly aggressive, unreasonably disruptive, threatening or demanding or makes frequent unannounced visits to our premises, we may consider restricting our face-to-face contact with them.

These restrictions may include:

- Restricting access to particular secured premises or areas of the office such as the reception area or a secured room or facility.
- Restricting their ability to attend our premises to specified times of the day and/or days of the week only, e.g., when additional security is available or to times/days that are less busy.
- Allowing them to attend our office on an 'appointment only' basis and only with specified staff
 (for these meetings, staff should always seek support and assistance of a colleague for added
 safety and security).
- Banning the complainant from attending our premises altogether and allowing some other form
 of contact, e.g., 'writing only' or 'telephone only' contact.

Contact through a representative only

In cases where we cannot completely restrict our contact with a complainant and their conduct is particularly difficult to manage, we may also restrict their contact to contact through a support person or representative only. The support person may be nominated by the complainant but must be approved by the Public Officer.

When assessing a representative or support person's suitability, the Public Officer should consider factors such as their level of competency and literacy skills, demeanour and behaviour, and relationship with the complainant. If the Public Officer determines that the representative or support person may exacerbate the situation with the complainant, the complainant will be asked to nominate another person, or we may assist them in this regard.

5.6 Completely terminating a complainant's access to our services

In rare cases, and as a last resort when all other strategies have been considered and/or attempted, the General Manager may decide that it is necessary for our organisation to completely restrict a complainant's contact/access to our services.

A decision to have no further contact with a complainant will only be made if it appears that the complainant is unlikely to modify their conduct and/or their conduct poses a significant risk for our staff or other parties because it involves one or more of the following types of conduct:

- Acts of aggression, verbal and/or physical abuse, threats of harm, harassment, intimidation, stalking, assault.
- Damage to property while on our premises.
- Threats with a weapon or common office items that can be used to harm another person or themselves.
- Physically preventing a staff member from moving around freely either within their office or during an off-site visit, e.g., entrapping them in their home.
- Conduct that is otherwise unlawful.

In these cases, the complainant will be sent a letter notifying them that their access has been restricted as outlined in Section 7.4.

A complainant's access to our services and our premises may also be restricted (directly or indirectly) using the legal mechanisms like trespass laws and other legislation or legal orders to protect members of our staff from personal violence, intimidation or stalking by a complainant.

6. ALTERNATIVE DISPUTE RESOLUTION

6.1 Using alternative dispute resolution strategies to manage conflicts with complainants

If the Public Officer and the General Manager determine that we cannot terminate our services to a complainant in a particular case or that we or our staff bear some responsibility for causing or exacerbating their conduct, they may consider using alternative dispute resolution strategies (ADRs) such as mediation and conciliation to resolve the conflict with the complainant and attempt to rebuild our relationship with them. If ADR is considered to be an appropriate option in a particular case, the ADR will be conducted by an independent third party to ensure transparency and impartiality.

However, we recognise that in UCC situations, ADR may not be an appropriate or effective strategy, particularly if the complainant is uncooperative or resistant to compromise. Therefore, each case will be assessed on its own facts to determine the appropriateness of this approach.

7. PROCEDURE TO BE FOLLOWED WHEN CHANGING OR RESTRICTING A COMPLAINANT'S ACCESS TO OUR SERVICES

7.1 Consulting with relevant staff

When the Public Officer receives a UCC incident form from a staff member they will contact the staff member to discuss the incident. They will discuss:

- The circumstances that gave rise to the UCC incident, including the complainant's situation, personal and cultural background, and perspective
- The impact of the complainant's conduct on our organisation, relevant staff, our time, resources, etc.
- The complainant's response to the staff member's warnings or requests to stop the unreasonable behaviour.
- What the staff member has done to manage the complainant's conduct (if applicable).
- Any suggestions made by relevant staff on ways that the situation could be managed.

7.2 Criteria to be considered

Following a consultation with relevant staff the Public Officer will search the relevant Council's records systems, for information about the complainant's prior conduct and history with our organisation. They will also consider the following criteria:

- Whether the conduct in question involved overt anger, aggression, violence or assault (which is unacceptable in all circumstances).
- Whether the complainant's case has merit.
- The likelihood that the complainant will modify their unreasonable conduct if they are given a formal warning about their conduct.
- Whether changing or restricting access to our services will be effective in managing the complainant's behaviour.

- Whether changing or restricting access to our services will affect the complainant's ability to meet their obligations, such as reporting obligations.
- Whether changing or restricting access to our services will have an undue impact on the complainant's welfare, livelihood or dependents, etc.
- Whether the complainant's personal circumstances have contributed to the behaviour e.g.,
 the complainant is a vulnerable person who is under significant stress as a result of one or more of the following:
 - homelessness
 - physical disability
 - illiteracy or other language or communication barrier
 - mental or other illness
 - personal crises
 - substance or alcohol abuse.
- Whether the complainant's response or conduct in the circumstances was moderately disproportionate, grossly disproportionate or not at all disproportionate in the circumstances.
- Whether there any statutory provisions that would limit the types of limitations that can be put on the complainant's contact with, or access to our services.

Once the Public Officer has considered these criteria they will decide on the appropriate course of action. They may suggest formal or informal options for dealing with the complainant's conduct which may include one or more of the strategies provided in the practice manual and this policy.

See **Appendix C** – checklist for the Public Officer to consider when deciding to modify or restrict a complainant's access.

7.3 Providing a warning letter

Unless a complainant's conduct poses a substantial risk to the health and safety of staff or other third parties, the General Manager will provide them with a written warning about their conduct in the first instance.

If the complainant is unable to read the letter, it will be followed/accompanied by a telephone call, using an interpreter if necessary.

The warning letter will:

- Specify the date, time and location of the UCC incident.
- Explain why the complainant's conduct/UCC incident is problematic.
- List the types of access changes and/or restrictions that may be imposed if the behaviour continues. (Note: not every possible restriction should be listed only those that are most relevant).
- Provide clear and full reasons for the warning being given.
- Include an attachment of the organisation's ground rules and/or briefly state the standard of behaviour that is expected of the complainant. See Appendix A – Individual rights and mutual responsibilities of parties to a complaint
- Provide the name and contact details of the staff member who they can contact about the
- Be signed by the General Manager.

See Appendix D – warning letter.

7.4 Providing a notification letter

If a complainant's conduct continues after they have been given a written warning or in extreme cases of overt aggression, violence, assault or other unlawful/unacceptable conduct the General Manager has the discretion to send a notification letter immediately restricting the complainant's access to our services (without prior written warning). If the complainant is unable to read the letter (due to literacy issues, non-English speaking, etc.) the letter will be followed or accompanied by a telephone call, using an interpreter if necessary.

This notification letter will:

- Specify the date, time and location of the UCC incident(s).
- Explain why the complainant's conduct/UCC incident(s) is problematic.
- Identify the change and/or restriction that will be imposed and what it means for the complainant.
- Provide clear and full reasons for this restriction.
- Specify the duration of the change or restriction imposed, which will not exceed 12 months.
- Indicate a time period for review.
- Provide the name and contact details of the senior officer who they can contact about the letter and/or request a review of the decision.
- Be signed by the General Manager.

See Appendix E – letter notifying complainants of a decision to change or restrict their access to our services.

7.5 Notifying relevant staff about access changes/restrictions

The Public Officer will notify relevant staff about any decisions to change or restrict a complainant's access to our services, in particular Customer Service staff in cases where a complainant is prohibited from entering our premises.

7.6 Continued monitoring/oversight responsibilities

Once a complainant has been issued with a warning letter or notification letter the Public Officer will review the complainant's record/restriction every 3 months, on request by a staff member, or following any further incidents of UCC that involve the particular complainant to ensure that they are complying with the restrictions/the arrangement is working.

If the Public Officer determines that the restrictions have been ineffective in managing the complainant's conduct or are otherwise inappropriate, they may decide to either modify the restrictions, impose further restrictions or terminate the complainant's access to our services altogether.

8. APPEALING A DECISION TO CHANGE OR RESTRICT ACCESS TO OUR SERVICES

8.1 Right of appeal

People who have their access changed or restricted are entitled to one appeal of a decision to change/restrict their access to our services. This review will be undertaken by a senior staff member who was not involved in the original decision to change or restrict the complainant's access. This staff member will consider the complainant's arguments and personal circumstances, including cultural background, along with all relevant records regarding the complainant's past conduct. They will advise the complainant of the outcome of their appeal by letter, which must be signed off by the General Manager. The staff member will

then refer any materials or records relating to the appeal to the Public Officer to be kept in the appropriate file.

If a complainant continues to be dissatisfied after the appeal process, they may seek an external review from an oversight agency such as the Ombudsman. The Ombudsman may accept the review (in accordance with its administrative jurisdiction) to ensure that we have acted fairly, reasonably and consistently and have observed the principles of good administrative practice including, procedural fairness.

NON-COMPLIANECE WITH A CHANGE OR RESTRICTION ON ACCESS TO OUR SERVICES

9.1 Recording and reporting incidents of non-compliance

All staff members are responsible for recording and reporting incidents of non-compliance by complainants. This should be recorded in a file note in the records management system and a copy forwarded to the Public Officer who will decide whether any action needs to be taken to modify or further restrict the complainant's access to our services.

10. PERIODIC REVIEWS OF ALL CASES WHERE THIS POLICY IS APPLIED

10.1 Period for review

All cases where this policy is applied will be reviewed every 3 months or 6 months (depending on the nature of the service provided) and not more than 12 months after the service change or restriction was initially imposed or upheld.

10.2 Notifying the complainant of an upcoming review

The Public Officer will invite all complainants to participate in the review process unless they determine that this invitation will provoke a negative response from the complainant (i.e. further UCC). The invitation will be given and the review will be conducted in accordance with the complainant's access restrictions.

See **Appendix F** – letter notifying a complainant of an upcoming review.

10.3 Criteria to be considered during a review

When conducting a review, the Public Officer will consider:

- Whether the complainant has had any contact with the organisation during the restriction period.
- The complainant's conduct during the restriction period.
- Any information or arguments put forward by the complainant for review.
- Any other information that may be relevant in the circumstances.

The Public Officer may also consult any staff members who have had contact with the complainant during the restriction period.

Sometimes a complainant may not have a reason to contact our office during their restriction period. As a result, a review decision that is based primarily on the fact that the complainant has not contacted our organisation during their restriction period may not be an accurate representation of their level of compliance/reformed behaviour. This should be taken into consideration, in relevant situations.

See **Appendix G** – checklist for reviewing an access change or restriction.

10.4 Criteria to be considered during a review

The Public Officer will notify the complainant of the outcome of their review using the appropriate/relevant method of communication as well as a written letter explaining the outcome, as applicable. The review letter will:

- Briefly explain the review process.
- Identify the factors that have been taken into account during the review.
- Explain the decision/outcome of the review and the reasons for it.

If the outcome of the review is to maintain or modify the restriction the review letter will also:

- Indicate the nature of the new or continued restriction.
- State the duration of the new restriction period.
- Provide the name and contact details of the Public Officer who the complainant can contact to discuss the letter.
- Be signed by the General Manager.

See **Appendix H** – letter advising the complainant of the outcome of a review.

10.5 Recording the outcome of a review and notifying relevant staff

The Public Officer is responsible for keeping a record of the outcome of the review, updating appropriate records and notifying all relevant staff of the outcome of the review including if the restriction has been withdrawn.

See sections 4.2 and 7.5.

11. MANAGING STAFF STRESS

11.1 Staff reactions to stressful situations

Dealing with demanding, abusive, aggressive, or violent complainants can be extremely stressful, distressing and even frightening for our staff. It is perfectly normal to get upset or stressed when dealing with difficult situations.

As an organisation, we have a responsibility to support staff members who experience stress as a result of situations arising at work and we will do our best to provide staff with debriefing and counselling opportunities, when needed. However, to do this we also need the help of all Hunter's Hill Council staff to identify stressful incidents and situations. All staff have a responsibility to tell relevant supervisors and senior managers about UCC incidents, and any other stressful incidents that they believe require management to be involved.

11.2 Debriefing

Debriefing means talking things through following a difficult or stressful incident. It is an important way of dealing with stress. Many staff do this naturally with colleagues after a difficult telephone call, but staff can also debrief with a supervisor or senior manager (or as a team) following a significant incident. We encourage all staff to engage in an appropriate level of debriefing, when necessary. Staff may also access an external professional service if required, such as Council's EAP Program.

11.3 Training and awareness

Hunter's Hill Council is committed to ensuring that all staff are aware of and know how to use this policy. All staff who deal with complainants in the course of their work will also receive appropriate training and

information on using this policy and on managing UCC on a regular basis and, in particular, on induction. This should include training to support culturally appropriate communication.

12. POLICY REVIEW

12.1 Policy review period

All staff are responsible for forwarding any suggestions they have in relation to this policy to the Public Officer, who along with relevant senior managers will review it biennially (every 2 years).

RELATED POLICIES/PROCEDURES

- Work Health and Safety Policy
- Complaints Handling Policy and Procedures
- Managing unreasonable conduct by a complainant manual (3rd edition)
- Unauthorised entry onto agency premises applying the provisions of the Enclosed Lands Protection
 Act 1901 (NSW)
- Orders to address violence, threats, intimidation or stalking by complainants

POLICY AUTHORITY

General Manager

REVIEW

All staff are responsible for forwarding any suggestions they have in relation to this policy to the Public Officer, who along with relevant senior managers will review it biennially (every 2 years).

Next review date is: September 2025

ADOPTED BY COUNCIL/EXECUTIVE:

DATE: 18 September 2023

RESOLUTION NO: 188/23

VERSION CONTROL TABLE

Date	Version	Res. No.	Key Changes	Author
11 Nov 2019	1.0	251/19	Adoption of Policy	Director, Finance &
				Corporate Services
18 September 2023	2.0	188/23	Inclusions:	Director, Community &
			Policy title change	Customer Service
			Roles and responsibilities	
			Recording and reporting	
			incidents of non-compliance	

Managing staff stress
Appendix additions
General updates as per 2021
Ombudsman
recommendations

APPENDIX A INDIVIDUAL RIGHTS AND MUTUAL RESPONSIBILITIES OF THE PARTIES TO A COMPLAINT

In order for Hunter's Hill Council to ensure that all complaints are dealt with fairly, efficiently and effectively and that work health and safety standards and duty of care obligations are adhered to, the following rights and responsibilities must be observed and respected by all of the parties to the complaint process.

Individual rights1

Complainants have the right:

- to make a complaint and to express their opinions in ways that are reasonable, lawful and appropriate regardless of cultural background, national origin, sex, sexual orientation, gender expression, disability or other cultural or personal characteristics²
- to a reasonable explanation in a wide range of languages of the organisation's complaints procedure, including details of the confidentiality, secrecy or privacy rights or obligations that may apply to a fair and impartial assessment and, where appropriate, investigation of their complaint based on the merits of the case³
- to a fair hearing⁴
- to a timely response
- to be informed in at least general terms about the actions taken and outcome of their complaint⁵
- to have decisions that affect them explained to them
- to at least one (1) review of the decision on the complaint⁶
- to be treated with courtesy and respect
- to communicate valid concerns and views without fear of reprisal or other unreasonable response.

Staff have the right:

- to determine whether, and if so how, a complaint will be dealt with
- to finalise matters on the basis of outcomes they consider to be satisfactory in the circumstances⁸
- to expect honesty, cooperation, and reasonable assistance from complainants
- to expect honesty, cooperation and reasonable assistance from organisations and people within jurisdiction who are the subject of a complaint
- to be treated with courtesy and respect
- to a safe and healthy working environment⁹
- to modify, curtail or decline service (if appropriate) in response to unacceptable behaviour by a complainant.¹⁰

¹The word 'rights' is not used here in the sense of legally enforceable rights (although some are), but in the sense of guarantees of certain standards of service and behaviour that a complaint handling system should be designed to provide to each of the parties to a complaint.

² Differences of opinion are normal: people perceive things differently, feel things differently and want different things. People have a right to their own opinions, provided those opinions are expressed in acceptable terms and in appropriate forums.

³ While degrees of independence will vary between complaint handlers, all should assess complaints fairly and as impartially as possible, based on a documented process and the merits of the case.

⁴The 'right to be heard' refers to the opportunity to put a case to the complaint handler/decision-maker. This right can be modified, curtailed or lost due to unacceptable behaviour, and is subject to the complaint handler's right to determine how a complaint will be dealt with.

⁵ Provided this will not prejudice on-going or reasonably anticipated investigations or disciplinary/criminal proceedings.

⁶ Such a right of review can be provided internally to the organisation, for example by a person not connected to the original decision.

⁷ Provided the concerns are communicated in the ways set out in relevant legislation, policies and/or procedures established for the making of such complaints/allegations/disclosures/etc.

⁸ Some complaints cannot be resolved to the complainant's satisfaction, whether due to unreasonable expectations or the particular facts and circumstances of the complaint [see also footnote 25].

⁹ See for example WH&S laws and the common law duty of care on employers.

¹⁰ Unacceptable behaviour includes verbal and physical abuse, intimidation, threats, etc.

Subjects of a complaint have the right:

- to a fair and impartial assessment and, where appropriate, investigation of the allegations made against them
- to be treated with courtesy and respect by Council staff.
- to be informed (at an appropriate time) about the substance of the allegations made against them that are being investigated¹¹
- to be informed about the substance of any proposed adverse comment or decision
- to be given a reasonable opportunity to put their case during the course of any investigation and before any final decision is made ¹²
- to be told the outcome of any investigation into allegations about their conduct, including the reasons for any decision or recommendation that may be detrimental to them
- to be protected from harassment by disgruntled complainants acting unreasonably.

Mutual responsibilities

Complainants are responsible for:

- treating Council staff with dignity and respect
- clearly identifying to the best of their ability the issues of complaint, or asking for help from Council staff to assist them in doing so
- providing Council, to the best of their ability, with all the relevant information available to them at the time of making the complaint
- being honest in all communications with Council.
- informing Council of any other action they have taken in relation to their complaint¹³
- cooperating to the best of their ability with the staff who are assigned to assess, investigate, resolve, determine or otherwise deal with their complaint.

If complainants do not meet their responsibilities, Council may consider placing limitations or conditions on their ability to communicate with staff or access certain services.

Hunter's Hill Council has a zero-tolerance policy in relation to any harm, abuse or threats directed towards its staff. Any conduct of this kind may result in a refusal to take any further action on a complaint or to have further dealings with the complainant.¹⁴ Any conduct of a criminal nature will be reported to police, and in certain cases legal action may also be considered.

Staff are responsible for:

- providing reasonable assistance, including cultural and linguistic assistance, to complainants who need help to make a complaint and, where appropriate, during the complaint process
- dealing with all complaints, complainants and people or organisations the subject of complaint professionally, fairly, and impartially
- giving complainants or their advocates a reasonable opportunity to explain their complaint, subject to the circumstances of the case and the conduct of the complainant
- giving people or organisations the subject of complaint a reasonable opportunity to put their case during the course of any investigation and before any final decision is made¹⁵

¹¹ Other than where there is an overriding public interest in curtailing the right, for example where to do so could reasonable create a serious risk to personal safety, to significant public funds, or to the integrity of an investigation into a serious issue. Any such notifications or opportunities should be given as required by law or may be timed so as not to prejudice that or any related investigation.

¹² Depending on the circumstances of the case and the seriousness of the possible outcomes for the person concerned, a reasonable opportunity to put their case, or to show cause, might involve a face to face discussion, a written submission, a hearing before the investigator or decision maker, or any combination of the above

¹³ For example, whether they have made a similar complaint to another relevant person or body or have relevant legal proceedings on foot.

¹⁴ Other than in circumstances where the organisation is obliged to have an ongoing relationship with the complainant.

¹⁵ See footnote 11.

- informing people or organisations the subject of investigation, at an appropriate time, about the substance of the allegations made against them¹⁶ and the substance of any proposed adverse comment or decision that they may need to answer or address ¹⁷
- keeping complainants informed of the actions taken and the outcome of their complaints¹⁸
- giving complainants explanations that are clear and appropriate to their circumstances, and adequately explaining the basis of any decisions that affect them
- treating complainants (and people who are the subject of complaints) with courtesy and respect at all times and in all circumstances
- taking all reasonable and practical steps to ensure that complainants¹⁹ are not subjected to any detrimental action in reprisal for making their complaint ²⁰
- giving adequate warning of the consequences of unacceptable behaviour.

If Council or its staff fail to comply with these responsibilities, complainants may complain to the Ombudsman.

Subjects of a complaint are responsible for:

- cooperating with the Council staff of who are assigned to handle the complaint, particularly where they
 are exercising a lawful power in relation to a person or body within their jurisdiction²¹
- providing all relevant information in their possession to [name of organisation] or its authorised staff when required to do so by a properly authorised direction or notice
- being honest in all communications with [name of organisation] and its staff
- treating the staff of the [name of organisation] with courtesy and respect at all times and in all circumstances
- refraining from taking any detrimental action against the complainant²² in reprisal for them making the complaint.²³

If subjects of a complaint fail to comply with these responsibilities, action may be taken under relevant laws or codes of conduct.

Hunter's Hill Council is responsible for:

- maintaining an appropriate and effective complaint handling system in place for receiving, assessing, handling, recording, and reviewing complaints
- making decisions about how all complaints will be dealt with
- ensuring that all complaints are dealt with professionally, fairly, and impartially²⁴
- ensuring that staff treat all parties to a complaint with courtesy and respect
- ensuring that the assessment and any inquiry into the investigation of a complaint is based on sound reasoning and logically probative information and evidence
- finalising complaints on the basis of outcomes that the organisation, or its responsible staff, consider to be satisfactory in the circumstances²⁵

¹⁶ Other than where an allegation is so lacking in merit that it can be dismissed at the outset.

¹⁷ See footnote 11.

¹⁸ See footnote 5.

 $^{^{19}}$ 'Complainants' include whistle-blowers/people who make internal disclosures.

²⁰ 'Complaints' includes disclosures made by whistle-blowers/people who make internal disclosures.

²¹ This does not include any obligation to incriminate themselves in relation to criminal or disciplinary proceedings, unless otherwise provided by statute.

²² See footnote 19.

²³ See footnote 20.

²³ See footnote 3.

²⁵ Once made, complaints are effectively 'owned' by the complaint handler who is entitled to decide (subject to any statutory provisions that may apply) whether, and if so how, each complaint will be dealt with, who will be the case officer/investigator/decision-maker/etc, the resources and priority given to actioning the matter, the powers that will be exercised, the methodology used, the outcome of the matter, etc. Outcomes arising out of a complaint may be considered by the complaint handler to be satisfactory whether or not the complainants, any subjects of complaint or the organisation concerned agrees with or is satisfied with that outcome.

•	implementing reasonable and appropriate policies, procedures, and practices to ensure that
	complainants ²⁶ are not subjected to any detrimental action in reprisal for making a complaint ²⁷ , including
	maintaining separate complaint files and other operational files relating to the issues raised by individuals
	who make complaints

•	adequately considering any confidentiality, secrecy or privacy obligations or responsibilities that may
	arise in the handling of complaints and the conduct of investigations.

If Council fails to comply wit	h these responsibilities.	, complainants may o	complain to the Ombudsmar

²⁶ See footnote 19.

²⁷ See footnote 20.

APPENDIX B INCIDENT FORM

This form should only be filled out if you encounter unreasonable conduct by a complainant and consider that steps may need to be taken to change or restrict a complainant's access to services.

Complete this form and send it electronically or by hand to the Public Officer within 24 hours of a UCC incident. They will decide on the necessary and appropriate course of action for responding to and managing the complainant's conduct.

Date: Case	officer's name:
Name of complainant: Comp	plainant's case file number:
Details of the complainant's conduct/incident includ	ing whether emergency services were contacted:
Why do you consider this conduct to be unreasonab	le?
For example – has it occurred before/repeatedly, calcould it raise significant health and safety issues for	used significant disruptions to our organisation, has or our staff or other persons.
What action, if any, have you taken to manage the c complainant 'verbally' about their conduct, previous	
What do you think should be done to effectively mandecision on the appropriate course of action will be	-
Is there any other information that might be relevan personal or cultural background issues that may hav attach any supporting documentation.	•

APPENDIX C CHECKLIST - MODIFY OR RESTRICT A COMPLAINANT'S ACCESS

	e referred to and considered section 7.2 criteria to be considered which includes an assessme e following:
	The merits of the complainants case
	The complainant's circumstances
	Jurisdictional issues
_	
	Proportionality
	Organisational or case officer responsibility
	Responsiveness, including previous conduct
	Case officer's personal boundaries

		Conduct that is unreasonable in all circumstances (assault, threats of harm etc.)
	reasonal	th the case officer concerned and [any other nominated senior officers], I have considered all ole options for managing the complainant's conduct, including those that do not involve ng their access to our services.
		plainant has been warned about their conduct in writing, and the letter has been signed by eral Manager, if applicable.
	appropri	plainant has been advised in writing, and by other culturally, linguistically, or personally ate means, of our decision to restrict their access to our organisation, and the letter has been y the General Manager, if applicable.
		ade a record of my assessment and decision about the complainant's conduct and all relevant mbers have been notified of my decision.
	An election with this restriction	ronic alert has been created in the Records Management System that notifies any staff dealing complainant of the nature of the conduct that caused us to be concerned, the nature of the on that has been placed on their access, its duration, how they are to deal with the nant (including who they should direct any communications from the complainant to).
Date:		Signature:

APPENDIX D

WARNING LETTER



HUNTER'S HILL COUNCIL

ABN 75 570 316 011 22 ALEXANDRA STREET, HUNTERS HILL 2110 PO BOX 21, HUNTERS HILL 2110 (02) 9879 9400

> customerservice@huntershill.nsw.gov.au www.huntershill.nsw.gov.au

Ref number: <reference number> < Date letter created>

[Name of complainant] [Address of complainant]

Dear [name of complainant]

Your contact with Hunter's Hill Council

You recently had [state the form of contact – e.g., telephone, written or face-to-face] with staff at my office on [date]. [During/In that telephone call/appointment/letter], I understand that you [explain the nature of the conduct that has caused the organisation to be concerned].

We consider this type of behaviour to be inappropriate and it must stop. If you continue to behave in this way or in any other way that my staff consider to be unreasonable, we will impose restrictions on your contact with our office. This may involve restricting your contact to [apply the relevant option(s)]:

- 'Writing only' this means that we will only accept communications from you in writing, delivered by Australia Post [if online or other written communications are preferred then explain].
- 'Telephone contact only' this means that you will only be able to contact us by telephone on a specified time and day of the week.
- 'Face-to-face contact only' this means that your contact will be limited to scheduled face-to-face meetings with a specified member of our staff.

Or any other restriction that we consider to be appropriate in the circumstances.

I have attached a copy of a document called [Individual rights and mutual responsibilities of the parties to a complaint] for your reference. We expect everyone who complains to this office to act in the ways described in this document.

If you have any questions about this letter, you can contact [provide name and phone number of the Public Officer].

Yours sincerely

<Council officer signature>

<Council Officer First Name> <Council Officer Surname>

<Council Officer position>

APPENDIX E

LETTER NOTIFYING A COMPLAINANT OF A DECISION TO CHANGE OR RESTRICT THEIR ACCESS TO OUR SERVICES



HUNTER'S HILL COUNCIL

ABN 75 570 316 011 22 ALEXANDRA STREET, HUNTERS HILL 2110 PO BOX 21, HUNTERS HILL 2110 (02) 9879 9400

> <u>customerservice@huntershill.nsw.gov.au</u> www.huntershill.nsw.gov.au

Ref number: <reference number> < Date letter created>

[Name of complainant] [Address of complainant]

Dear [name of complainant]

Decision to restrict your contact with Hunter's Hill Council

It has come to my attention that you [describe the nature of the unreasonable conduct and its impact – e.g., if the complainant has been sending emails to several members of my staff on a daily basis...]

I understand that my staff have previously told you that we consider this conduct to be unreasonable and unwarranted.

I also wrote to you on [date] and asked you to stop this behaviour. In that letter I advised you that if your behaviour continued, we would restrict your contact with my organisation. At the time I also attached a copy of our [Individual rights and mutual responsibilities of the parties to a complaint] which outlines your responsibilities as a complainant.

Because your behaviour has continued, I now consider it necessary to impose certain restrictions on your future contact with my organisation. I therefore give you notice that from [date], and with the exception(s) detailed below, my organisation will only accept communication from you [identify permissible form of contact, if any].

What this means

This means that you are only to contact our organisation using [describe the restriction in further details]. Any communications that do not comply with this restriction will be [describe what will happen – e.g., phone calls will be terminated immediately, or emails/written communications will be read and filed without acknowledgment, emails will be blocked or deleted, no interviews will be granted, etc].

[Note: the complainant should be clearly informed how they can contact the organisation and how the organisation will contact them].

Your existing complaint (if applicable)

This organisation currently has [one] file open in your name. This relates to [state the subject of complaint and describe complaint]. This file is being handled by [name of officer and position title]. While you are able to contact [name of officer] [state nature of contact – e.g., by email] about this specific matter, all other contact with my organisation, including any future complaints, must be [state restriction – e.g., in writing through Australia Post] [provide contact details – e.g., address of organisation where post can be sent].

Review of this decision

My decision to restrict your contact with this organisation is effective immediately and will last for [3 months/6 months/12 months]. At that time, we will review your restriction and decide if it should be maintained, amended, or withdrawn.

I take these steps with the greatest reluctance, but [state reason for restriction – e.g., the equity and safety of other complainants and my staff], leaves me no alternative.

If you have any questions about this letter, you can contact [provide name and phone number of the Public Officer].

Yours sincerely

<Council officer signature>

<Council Officer First Name> <Council Officer Surname> <Council Officer position>



HUNTER'S HILL COUNCIL ABN 75 570 316 011 22 ALEXANDRA STREET, HUNTERS HILL 2110 PO BOX 21, HUNTERS HILL 2110 (02) 9879 9400

<u>customerservice@huntershill.nsw.gov.au</u> <u>www.huntershill.nsw.gov.au</u>

Ref number: <reference number> <Date letter created>

[Name of complainant] [Address of complainant]

Dear [name of complainant]

Upcoming review of the decision to restrict your contact with Hunter's Hill Council

It has now been [3 months/6 months/12 months] since restrictions were [imposed/upheld] on your contact with our office. As advised in our letter dated [date], we are now reviewing our decision to ascertain whether the restrictions should be maintained, amended, or withdrawn.

We consider it important to give you an opportunity to participate in the review process, so we are therefore inviting you to [apply the relevant option(s)]:

- make submissions in writing through Australia Post [include contact person's name and address]
- schedule a face-to-face interview with [include name of staff member and provide instructions on how
 they should go about scheduling the appointment e.g., calling though the reception line on xxx-xxxxxxx]
- schedule a telephone interview with [include name of staff member and provide instructions on how they should go about scheduling the appointment e.g., calling though the reception line on xxx-xxx-xxxx]

In your letter, you should include information that would be relevant to our review. This includes information about [....]/During the interview which will not last more than 30 minutes, we will discuss whether:

- you have complied with the current contact restrictions
- the current contact restrictions should be removed
- the current contact restrictions should be amended to better suit your personal circumstances
- the current contact restrictions should be maintained
- any other information that is relevant to our decision.

We must receive your letter by [time and date]/you should confirm your interview with [name of case officer] by [time and date]. If we do not receive it/hear from you by this date, we will assume that you do not wish to participate in this review and will undertake the review based on the information that we have available to us.

Once the review is completed, we will contact you again by letter notifying you of our decision.

If you have any questions about this letter, you can contact [provide name and phone number of the Public Officer].

Yours sincerely

<Council officer signature>

<Council Officer First Name> <Council Officer Surname>

<Council Officer position>

APPENDIX G CHECKLIST FOR REVIEWING A DECISION REGARDING AN ACCESS CHANGE/RESTRICTION

The complainant has been sent a letter, or if necessary has been contacted linguistically appropriate means, notifying them of the review.	by a more culturally and
The complainant will/will not participate in the review. ☐ the complainant has/has not scheduled a face-to-face interview ☐ the complainant has/has not made written submissions ☐ the complainant has/has not scheduled a telephone interview	
I have reviewed all the information in the [case management system] from trelevant period of the restriction] about the complainant's:	he last 12 months [or
□ contact with the office (explain form of contact) ————————————————————————————————————	
conduct during that contact (explain if conduct reasonable or unreason	able)
I have spoken with the case officers who have had contact with the complai months about the complainant's conduct during that period.	nant during the last 12
I have considered the arguments/statements made by the complainant, incl restrictions on them (explain complainant's position, including if their circumetc.) Note: if the complainant is arguing that their circumstances have change required to submit evidence to support this claim.	nstances have changed
I have considered whether there are other more reasonable/suitable option complainant's conduct, including those that do not involve restricting their a (list all that apply).	
I consider that the restriction should be (explain):	
 □ maintained –e.g., because the conduct has continued or is likely to condisproportionate, etc. □ removed – e.g., because the complainant has complied with the restrict amended – e.g., because the complainant's circumstances have change restriction is no longer appropriate. 	tions etc.
I have discussed my decision with [other nominated senior officers]	

П	The complainant has been advised in writing of my decision to maintain/remove/ amend the restriction and this letter has been signed by the General Manager.
	The Records Management System has been updated to reflect my decision.
Date:	Signature:

APPENDIX H

LETTER ADVISING THE COMPLAINANT OF THE OUTCOME OF A REVIEW



HUNTER'S HILL COUNCIL

ABN 75 570 316 011 22 ALEXANDRA STREET, HUNTERS HILL 2110 PO BOX 21, HUNTERS HILL 2110 (02) 9879 9400

> <u>customerservice@huntershill.nsw.gov.au</u> www.huntershill.nsw.gov.au

Ref number: <reference number> < Date letter created>

[Name of complainant] [Address of complainant]

Dear [name of complainant]

Review of your contact with Hunter's Hill Council

I am writing about a review that was undertaken by my organisation on [date] concerning your contact with this office. I understand that you [participated/did not participate] in that review.

Process of review

During the review you were given an opportunity to [explain in general terms how the review was undertaken].

Considerations

After your [interview/reading your submissions], we considered the concerns and suggestions raised in your [interview/letter, etc.], particularly your concerns about [include information that would be relevant – e.g., the complainant said their circumstances had changed]. We also reviewed our records of your conduct and contact with our office over the last 12 months. Our records showed that [provide summary of relevant information – e.g. Our records show that you have continued to send emails to our office, sometimes up to 4 times a day, throughout the period of your restriction].

[apply if relevant]: These communications were in direct violation of your restriction which limited your contact with our office to [state nature restriction] [explain what the purpose of the restriction was, if appropriate, and the impact of their conduct].

[apply if relevant]: Our records show that you have complied with the restrictions that were imposed on your contact with our organisation.

Decision

[apply if relevant]: Due to [explain reasoning for the decision – e.g., the number of emails that you have sent to our organisation in the last 12 months and] I consider it necessary to maintain the restrictions on your contact with our office for a further 12 months, effective immediately.

[apply if relevant]: Due to [explain reasoning for the decision I consider it necessary to amend the restrictions on your access to better suit your personal circumstances [explain, including providing clear

instructions on how the complainant is to contact us and how we will contact them]. The new restrictions will be effective immediately and will last for 12 months. If your circumstances change again during this period, you may [explain how the complainant can notify of the change].

[apply if relevant]: Due to [explain reasoning for the decision] I consider it appropriate to remove the restrictions that have been placed on your access with our organisation, effective immediately. You may contact our organisation using any of our normal servicing options.

If you have any questions about this letter, you can contact [provide name and phone number of the Public Officer].

Yours sincerely

<Council officer signature>

<Council Officer First Name> <Council Officer Surname>

<Council Officer position>